



3D Color CLUT Compression by Multi-Scale Anisotropic Diffusion

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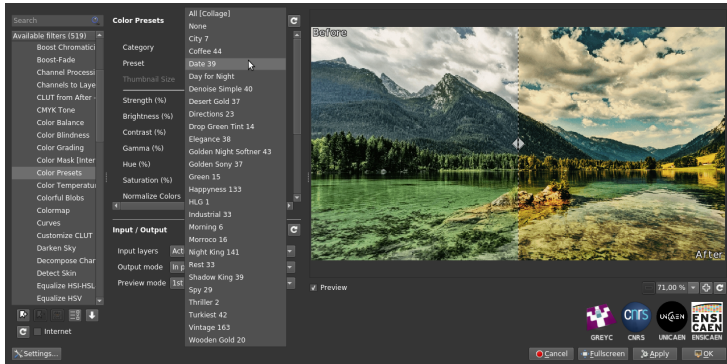


Context



GREYC's Magic for Image Computing

<https://gmic.eu>

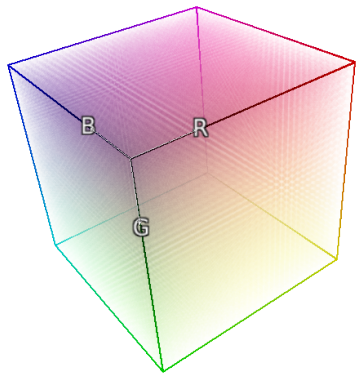


- **Application context:**

Provide *various colorimetric transformations* available in our open-source framework for image processing.



What is a *CLUT* (Color Look-Up Table) ?



(a) $CLUT \mathbf{F} : RGB \rightarrow RGB$,
visualized in 3D



(b) Original color image



(c) Image after transformation \mathbf{F}



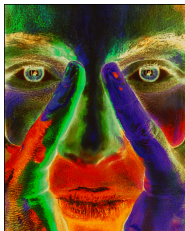
Examples of of *CLUT*-based transformations



Original image



"60's"



"Color Negative"



"Orange Tone"



"Ilford Delta 3200"



"Backlight Filter"



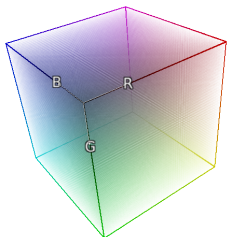
"Bleach Bypass"



"Late Sunset"



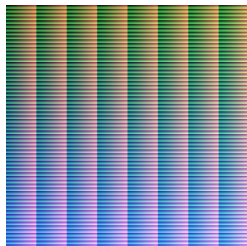
Standard ways of storing a *CLUT*



a) a *CLUT* is a 3D dense color volume

```
TITLE "Generate by Resolve"
LUT_3D_SIZE 33
0.0257267 0.0279088 0.0275425
0.0274662 0.0289616 0.0285496
0.0315557 0.0299077 0.0288548
0.0376898 0.0304723 0.0283207
0.0449226 0.030808 0.0274052
0.0551614 0.0313726 0.0259556
0.0731975 0.0323644 0.0238346
0.0887922 0.033341 0.0223697
0.108125 0.0354009 0.0212558
0.128313 0.0369116 0.0208133
0.149523 0.038468 0.0209506
0.165606 0.0398108 0.0211948
0.180224 0.0406195 0.0212253
```

b) Storage as a .cube



c) Storage as a .png

In both cases, **lossless** compression, but restricted to small sizes:

1. **.cube file:** ASCII zipped format ($CLUT\ 64^3 \approx 1\ Mo$)
2. **.png file:** 2D image ($CLUT\ 64^3 \approx 64\ to\ 100\ Ko$)

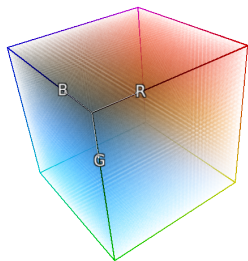
⇒ **Issue:** Promote a large-scale distribution of *CLUTs* (500+), by limiting the number of parameters as much as possible.



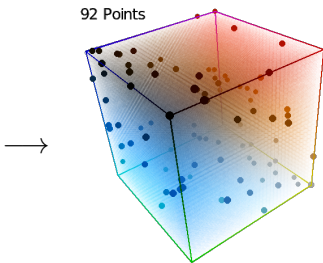
Our approach: CLUT compression

Compression: Let $\mathbf{F} : RGB \rightarrow RGB$ be a 3D CLUT.

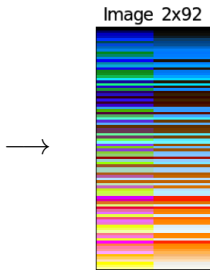
We generate \mathcal{K} , a smaller representation based on the storage of a set of color keypoints.



Original CLUT \mathbf{F}



Determination of 3D
color keypoints \mathcal{K}



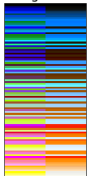
Storage in
compressed
form



Our approach: CLUT decomposition

Decompression: A 3D interpolation based on **anisotropic diffusion PDEs** is applied to \mathcal{K} in order to generate a reconstructed CLUT $\tilde{\mathbf{F}}$ visually close to \mathbf{F} .

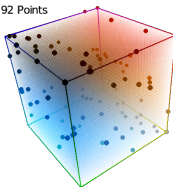
Image 2x92



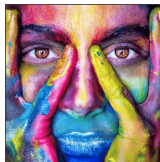
Stockage in
compressed
form



92 Points



Decompressed
CLUT $\tilde{\mathbf{F}}$



Input image

No visible perceptual differences
between the two transformations



Original mapping



Compressed mapping



Reconstruction principles (1/3)

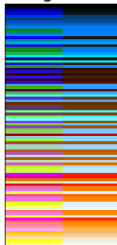
Let $\mathbf{F} : RGB \rightarrow RGB$ be a 3D CLUT.

It is assumed that its sparse representation is known.

$$\mathcal{K} = \{\mathbf{K}_k \in RGB \times RGB \mid k = 1 \dots N\}$$

i.e the color keypoints N , located in the RGB cube.

Image 2x92



The k^{th} keypoint of \mathcal{K} is defined by vecteur

$$\mathbf{K}_k = (\mathbf{X}_k, \mathbf{C}_k) = (x_k, y_k, z_k, R_k, G_k, B_k),$$

where $\mathbf{X}_k = (x_k, y_k, z_k)$ is the 3D keypoint position in the RGB cube, and $\mathbf{C}_k = (R_k, G_k, B_k)$ its associated color.



We propagate/average the colors \mathbf{C}_k of the keypoints in the whole RGB domain through an **anisotropic diffusion** process

- Let $d_{\mathcal{K}} : RGB \rightarrow \mathbb{R}^+$, the distance function to the set of keypoints \mathcal{K} :

$$\forall \mathbf{X} \in RGB, \quad d_{\mathcal{K}}(\mathbf{x}) = \inf_{k \in 0 \dots N} \|\mathbf{X} - \mathbf{X}_k\|$$

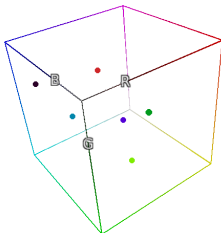
- $CLUT \mathbf{F}$ is reconstructed by solving the following anisotropic diffusion PDE :

$$\forall \mathbf{X} \in RGB, \quad \frac{\partial \mathbf{F}}{\partial t}(\mathbf{X}) = m(\mathbf{x}) \frac{\partial^2 \mathbf{F}}{\partial \eta^2}(\mathbf{X})$$

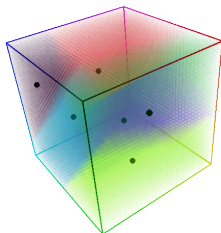
$$\text{where } \eta = \frac{\nabla d_{\mathcal{K}}(\mathbf{x})}{\|\nabla d_{\mathcal{K}}(\mathbf{x})\|} \quad \text{and} \quad m(\mathbf{x}) = \begin{cases} 0 & \text{if } \exists k, \mathbf{X} = \mathbf{X}_k \\ 1 & \text{otherwise} \end{cases}$$



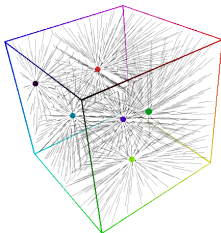
Reconstruction principles (3/3)



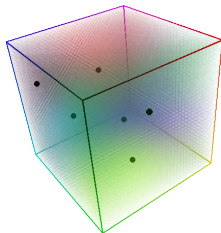
(a) Set \mathcal{K} of known keypoints



(b) Initial state $\mathbf{F}_{t=0}$ (*Voronoi 3D smoothed*)



(c) Diffusion orientations η



(d) State at convergence (*PDE Solution*)



Spatial discretization (1/2)

d_K is not derivable on its local maxima.

We propose the following numerical scheme for discretization:

$$\nabla d_K(\mathbf{x}) = \begin{pmatrix} \text{maxabs}(\partial_x^{\text{for}} d_K, \partial_x^{\text{back}} d_K) \\ \text{maxabs}(\partial_y^{\text{for}} d_K, \partial_y^{\text{back}} d_K) \\ \text{maxabs}(\partial_z^{\text{for}} d_K, \partial_z^{\text{back}} d_K) \end{pmatrix}$$

where

$$\text{maxabs}(a, b) = \begin{cases} a & \text{if } |a| > |b| \\ b & \text{otherwise} \end{cases}$$

and

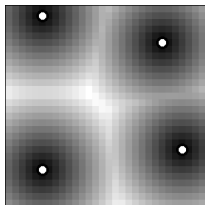
$$\partial_x^{\text{for}} d_K = d_{K(x+1,y,z)} - d_{K(x,y,z)}$$

$$\partial_x^{\text{back}} d_K = d_{K(x,y,z)} - d_{K(x-1,y,z)}$$

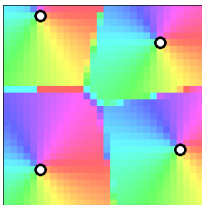
(Discrete *forward/backward* first derivative approximations).



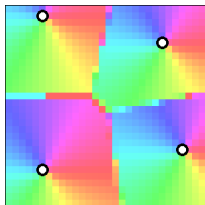
Spatial discretization (2/2)



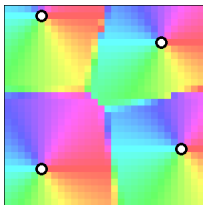
(a) Keypoints and distance function d_K



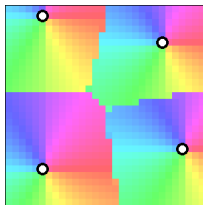
(b) Estimation of η using forward scheme $\partial^{\text{for}} d_K$



(c) Estimation of η using backward scheme $\partial^{\text{back}} d_K$



(d) Estimation of η using centred scheme $\frac{1}{2}(\partial^{\text{for}} d_K + \partial^{\text{back}} d_K)$



(e) Estimation of η using proposed scheme



For the sake of **algorithmic efficiency**, we discrete the *PDE* by a *semi-implicit* scheme:

$$\frac{\mathbf{F}^{t+dt} - \mathbf{F}^t}{dt} = m(\mathbf{x}) \left[\mathbf{F}_{(\mathbf{x}+\eta)}^t + \mathbf{F}_{(\mathbf{x}-\eta)}^t - 2 \mathbf{F}_{(\mathbf{x})}^{t+dt} \right]$$

By choosing **dt** appropriately, we simplify the scheme by:

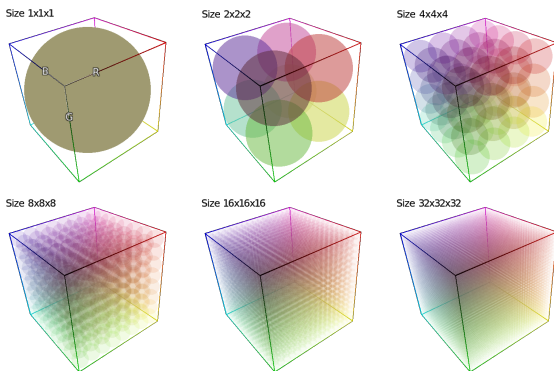
$$\begin{cases} \mathbf{F}_{(\mathbf{x})}^{t+dt} = \mathbf{F}_{(\mathbf{x})}^t & \text{if } m(\mathbf{x}) = 0 \\ \mathbf{F}_{(\mathbf{x})}^{t+dt} = \frac{1}{2} \left[\mathbf{F}_{(\mathbf{x}+\eta)}^t + \mathbf{F}_{(\mathbf{x}-\eta)}^t \right] & \text{otherwise} \end{cases}$$

where $\mathbf{F}_{(\mathbf{x}+\eta)}^t$ and $\mathbf{F}_{(\mathbf{x}-\eta)}^t$ are accurately estimated using tricubic spatial interpolation.



Multi-scale resolution

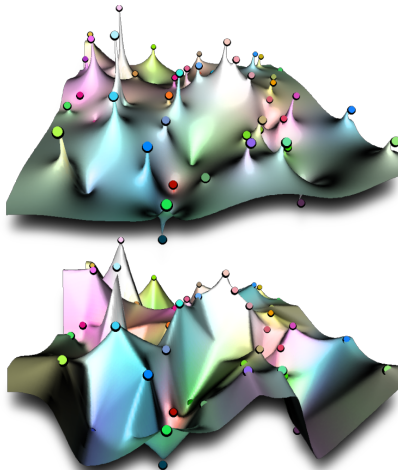
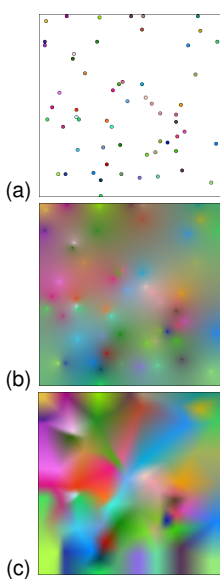
- Speed-up of convergence through **multi-scale resolution**.



- Reduction of the number of required iterations per scale (≈ 20).
- Total algorithmic complexity for a size r^3 : $O(\log_2(r) r^3)$.
- Reconstruction of a 64^3 CLUT in less than 1s.



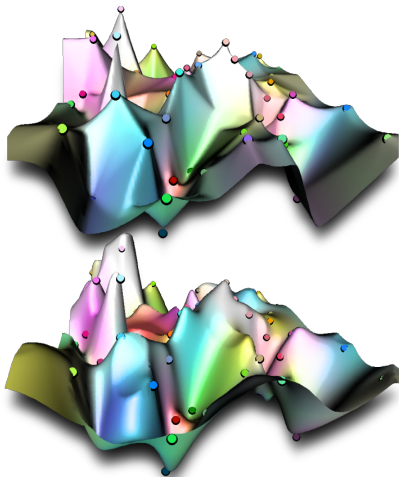
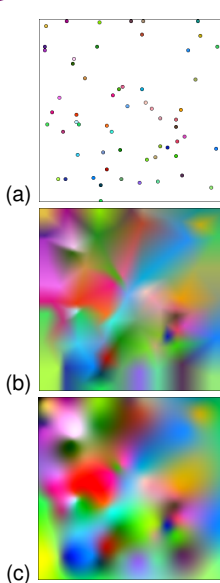
Isotropic/Anisotropic comparison



- (a) Color Keypoints \mathcal{K}
(b) **Isotropic** reconstruction, in $O(\log_2(r) r^3)$
(c) **Anisotropic** reconstruction, in $O(\log_2(r) r^3)$



Comparison with *RBFs* (Radial Basis Functions)



- (a) Color Keypoints \mathcal{K}
(b) **Anisotropic** reconstruction, in $O(\log_2(r) r^3)$
(c) **RBFs** reconstruction, in $O(N^3 + N r^3)$.



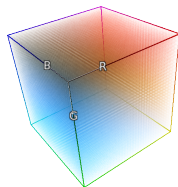
Compression: Generation of keypoints

Let \mathbf{F} be the *CLUT* to be compressed. The compression:

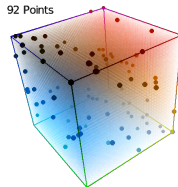
- Generates a set \mathcal{K} of N keypoints representing \mathbf{F} .
- $\tilde{\mathbf{F}}_N$ the reconstructed *CLUT* from \mathcal{K} must be close enough to \mathbf{F} .

Two quality criteria for reconstruction:

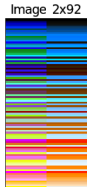
- $\Delta_{\max} = 8$, maximum reconstruction error allowed,
- $\Delta_{\text{moy}} = 2$, average reconstruction error for the entire *CLUT*



Original *CLUT* \mathbf{F}



Determination of 3D
color keypoints \mathcal{K}

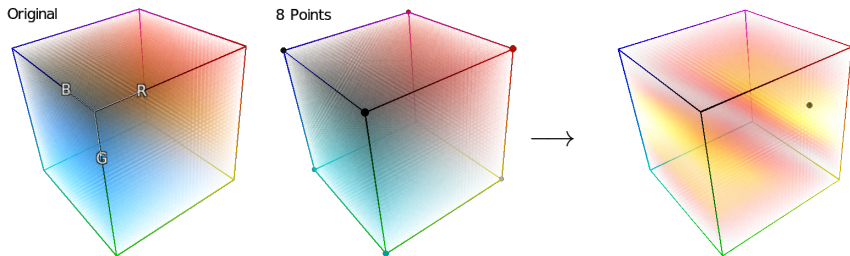


Storage in
compressed
form



Compression: Generation of keypoints

- 1 **Initialization** of $\mathcal{K} = \{(\mathbf{X}_k, \mathbf{F}_{(\mathbf{x}_k)} \mid k = 1 \dots 8\}$ (the 8 vertices of the cube).

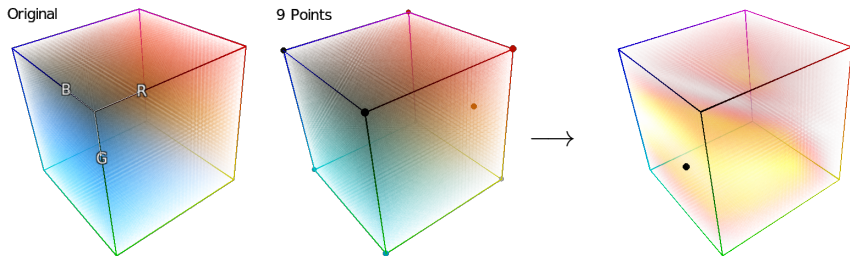


\Rightarrow Calculation of the L_2 reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}_{(\mathbf{x})} - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



Compression: Generation of keypoints

- 2 **Iterative addition** into \mathcal{K} of the keypoints with maximum reconstruction error, while $E_{\max} < \Delta_{\max}$ or $E_{\text{moy}} < \Delta_{\text{moy}}$.

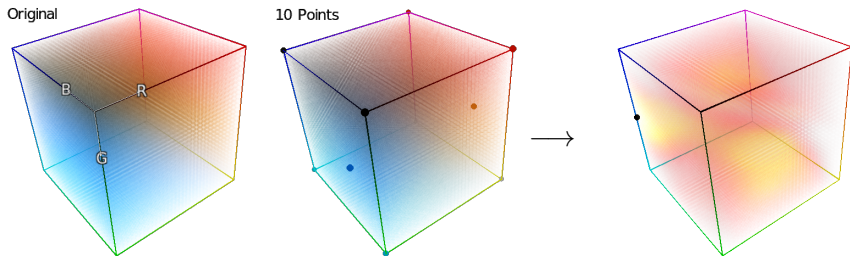


\Rightarrow Calculation of the reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}(\mathbf{x}) - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



Compression: Generation of keypoints

- ② **Iterative addition** into \mathcal{K} of the keypoints with maximum reconstruction error, while $E_{\max} < \Delta_{\max}$ or $E_{\text{moy}} < \Delta_{\text{moy}}$.

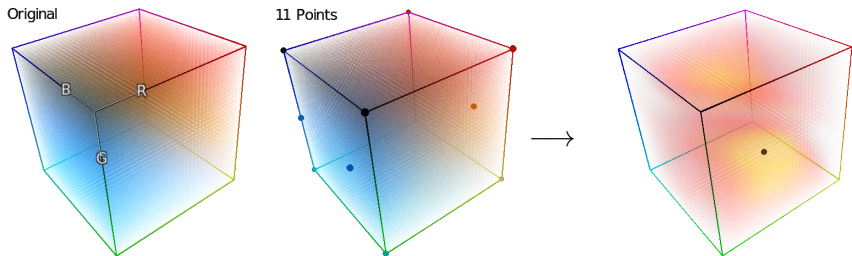


⇒ Calculation of the reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}(\mathbf{x}) - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



Compression: Generation of keypoints

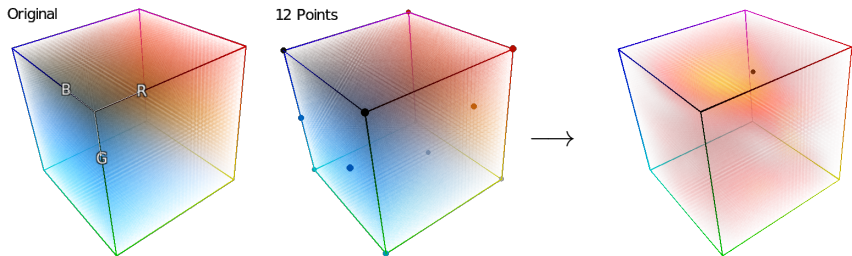
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⇒ Calculation of the reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}(\mathbf{x}) - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



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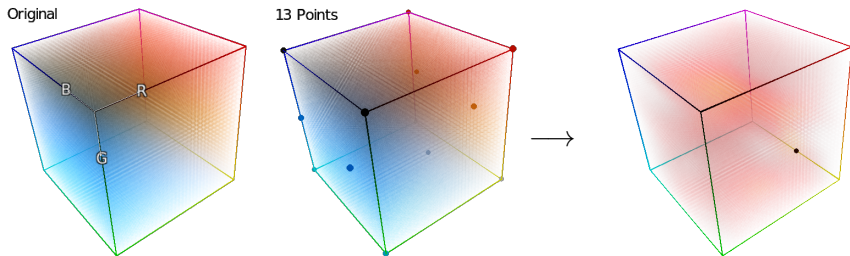


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Compression: Generation of keypoints

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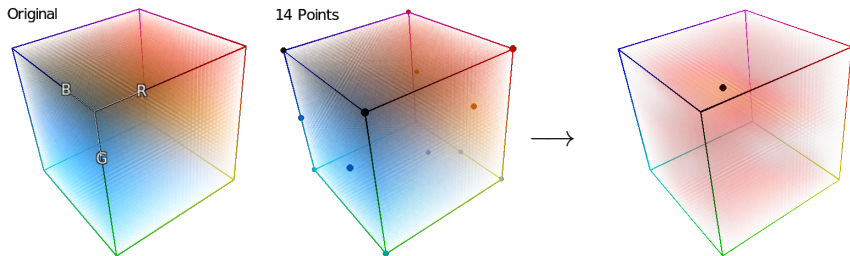


⇒ Calculation of the reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}(\mathbf{x}) - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



Compression: Generation of keypoints

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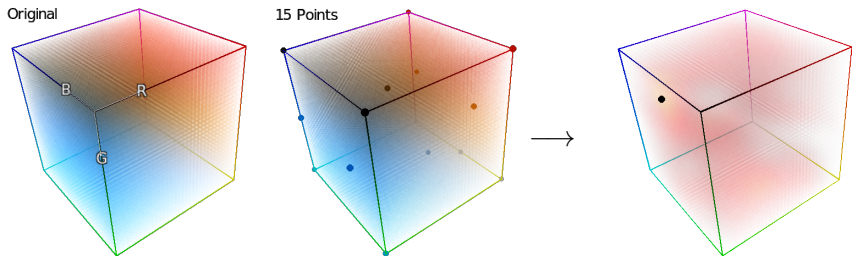


⇒ Calculation of the reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}(\mathbf{x}) - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



Compression: Generation of keypoints

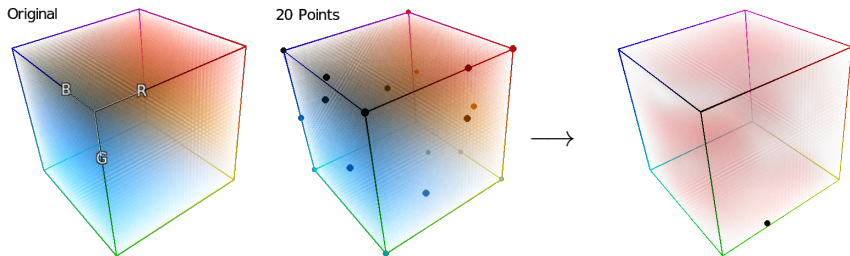
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⇒ Calculation of the reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}(\mathbf{x}) - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



- ② **Iterative addition** into \mathcal{K} of the keypoints with maximum reconstruction error, while $E_{\max} < \Delta_{\max}$ or $E_{\text{moy}} < \Delta_{\text{moy}}$.

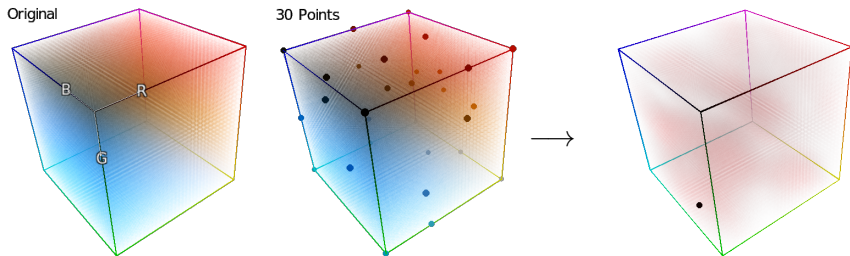


⇒ Calculation of the reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}(\mathbf{x}) - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



Compression: Generation of keypoints

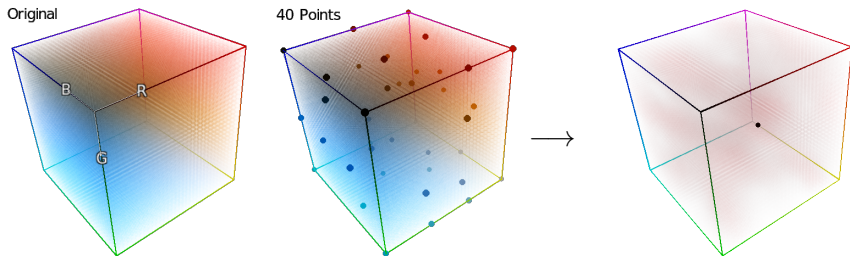
- 2 **Iterative addition** into \mathcal{K} of the keypoints with maximum reconstruction error, while $E_{\max} < \Delta_{\max}$ or $E_{\text{moy}} < \Delta_{\text{moy}}$.



⇒ Calculation of the reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}(\mathbf{x}) - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



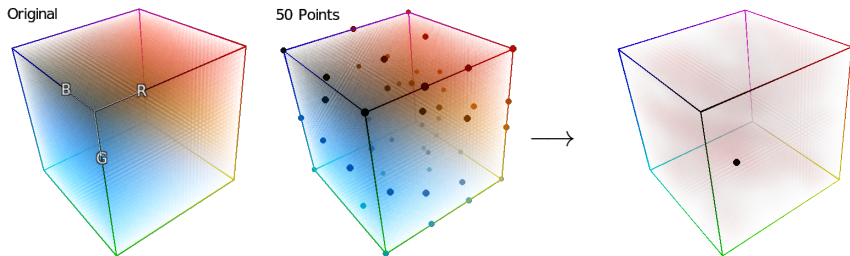
- ② **Iterative addition** into \mathcal{K} of the keypoints with maximum reconstruction error, while $E_{\max} < \Delta_{\max}$ or $E_{\text{moy}} < \Delta_{\text{moy}}$.



⇒ Calculation of the reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}(\mathbf{x}) - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



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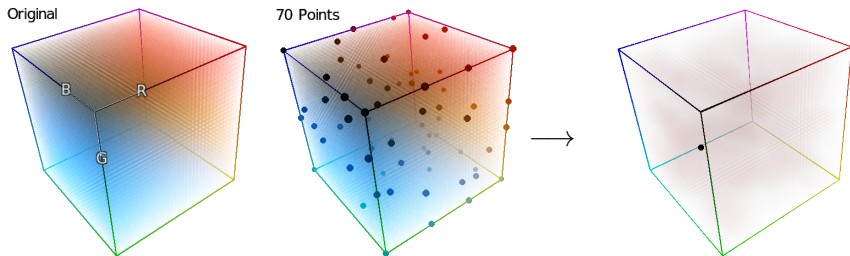


⇒ Calculation of the reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}(\mathbf{x}) - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



Compression: Generation of keypoints

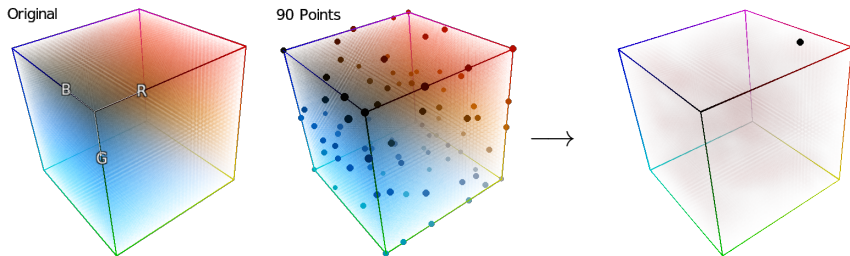
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⇒ Calculation of the reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}(\mathbf{x}) - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



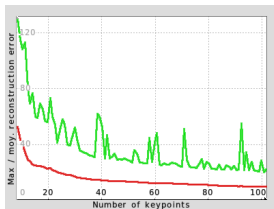
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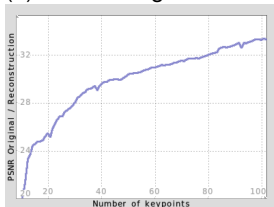
⇒ Calculation of the reconstruction error: $E_{N(\mathbf{x})} = \|\mathbf{F}(\mathbf{x}) - \tilde{\mathbf{F}}_{N(\mathbf{x})}\|$.



Adding keypoints for *CLUT* compression

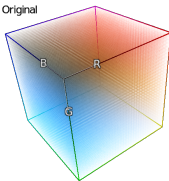


(a) max/average error

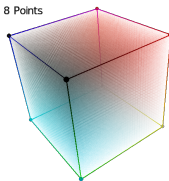


(b) PSNR evolution

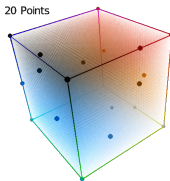
Original



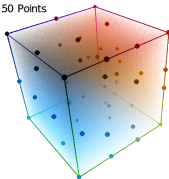
8 Points



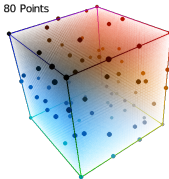
20 Points



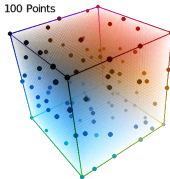
50 Points



80 Points



100 Points



(c) Iterative addition of keypoints



CLUT Compression results

- Individual measurements:

CLUT name	Bourbon 64	Faded 47	Milo 5	Cubicle 99
Resolution	16 ³	32 ³	48 ³	64 ³
Size in .cube.zip	23.5 Kb	573 Kb	3 Mb	1.2 Mb
Size in .png	3.7 Kb	22 Kb	72 Kb	92 Kb
Number of Keypoints	562	294	894	394
PSNR	45.8 dB	45.6 dB	45 dB	45.2 dB
Compression time	28 s	92 s	1180 s	561 s
Decompression time	67 ms	157 ms	260 ms	437 ms
Keypoints in .png	1.9 Kb	1.5 Kb	4.2 Kb	1.9 Kb
%cRate / .cube.zip	92.1%	99.7%	99.8%	99.8%
%cRate / .png	49.5%	93.3%	94.2%	98%

- General measurement: A set of **552 CLUTs** (**708 Mo**), mix of .cube.zip and .png, compressed in **2.5 Mo**.

⇒ Overall compression rate of 99.65%



Conclusions/Future prospects

- The *CLUT* compression/decompression techniques are **surprisingly effective**. Adequacy of the proposed 3D anisotropic diffusion model to the type of data processed (**smooth, volumetric, color-valued**).
- Algorithms integrated into *G'MIC*, our full-featured open-source framework for image processing



<https://gmic.eu>

- ⇒ **Reproducible** research , **open-source** and **reviewable** implementation.
- ⇒ Already massive **general public** use (thousands of users).
- **Future prospects:** Integration into any type of image and video processing software is recommended.



Thank you for your attention!

Any questions?



Original image



"60's"



"Color Negative"



"Orange Tone"



"Ilford Delta 3200"



"Backlight Filter"



"Bleach Bypass"



"Late Sunset"